

Union Bank of India (UK) Ltd is authorised by the Prudential Regulation Authority and Regulated by the Financial Conduct Authority and Prudential Regulation Authority

### IDENTITY OF BUSINESS

- Certificate of Incorporation
- Memorandum and Article of Association (If they cannot be obtained from companies house).
- List of all Directors and Shareholding of Company
- Board resolution on the company's letterhead (Format as per annexure A to this application)
- Certified copy of audited financial statements for last 2 years. (If the same cannot be obtained from Companies House)
- Company Structure
- Business Plan (if it is new company)
- Certificate of Incumbency if company is not registered in UK
- Address of Business:
- \*Bank statement or utility bill (telephone, gas, electricity, water, council tax)
- \*Postal Copy (not older than 3 Months)

#### Special Instructions :

Please also enclose

1. CRS Entity Certificate
2. CRS Controlling person certificate
3. Data protection Act 2018
4. FSCS Acknowledgement Form (DGS information sheet)

Forms are available on our website [www.unionbankofindiauk.co.uk](http://www.unionbankofindiauk.co.uk) under **Forms** download section.

### IDENTITY OF DIRECTORS & SIGNATORIES:

N.B. This information is also required for all shareholders of 25% and above

Valid Passport/ Valid UK Photo Card Driving Licence

Address Proof:

\*A copy of recent (not older than 3 months) Bank statement or uk driving license (If not used an ID proof) or utility bill (telephone, gas, electricity, water, council tax, T.V License) with full name and address.

\*Online Copies, Mobile Phone Bills & Credit Card Statement are not accepted.

\*In addition bank may ask for proof of source of wealth.

A Business, if currently trading to provide at least 30 days past consecutive business bank statement.

A business, if not currently trading through a business bank account should supply at least the last 30 days' consecutive personal bank statements highlighting all business related transactions.

Branch:

Type of Account :

- Current  \*Term Deposit  Other(s)

\*Period of Deposit :  year(s)

Amount:

\*Interest Pay out:  Annually  On Maturity

Currency of the Account :

- GBP  USD  EUR

For Office Use:

Cust ID :

A/C No :

Risk Assessment :

- Low Risk  Medium Risk  High Risk  PEP

### Part -1 : COMPANY INFORMATION

01	Full name of the Company	
02	Date and Country of Incorporation/Registration	
03	Company Registration no. and VAT Registration number	
04	Principal Business Activity/Activities	
05	Registered address of the Firm	Address:
		City: <span style="float: right;">Post Code:</span>
06	Business Information	Business Telephone/Mobile:
		Business Fax no.:
		Business Email:

07	Correspondence address (if different)	Address:					
		City:			Post Code:		
08	Turnover of the firm as per latest audited B/S						
	Actual net worth of the Company						
09	Places (name of countries in) which activity spreads/extends						
10	Whether the activity of firm includes exports/imports (YES/NO). If yes name of the countries						
11	Type of Industries						
12	What is the expected inflow into the account over the next 12 Month period *(Not Applicable to Term Deposit Accounts)	GBP		USD		EUR	
13	Of the above, what is the expected quantum from a) Local payments b) Cross-border payments? *(Not Applicable to Term Deposit Accounts)	GBP		USD		EUR	
		Local	Cross Border	Local	Cross Border	Local	Cross Border
14	What is the expected outflow from the account over the next 12 Month and the type of payments that will be made? *(Not Applicable to Term Deposit Accounts)	GBP		USD		EUR	
		01.		01.		01.	
		02.		02.		02.	
15	What percentage of your account would be in cash and what is the expected value of cash deposits into the account? *(Not Applicable to Term Deposit Accounts)						
16	Please provide	Year(1)		Year(2)		Year(3)	
	Annual turnover (GBP)						
	No.of employees						
	Capital (GBP)						
17	What business purpose(s) will the account be used for?						
18	Is any of the Director or shareholder a PEP (Politically Exposed Person)?	<input type="checkbox"/> Yes <input type="checkbox"/> No					
	If yes, please provide details						
19	Purpose of Account Opening:	<input type="checkbox"/> Business Transactions <input type="checkbox"/> Fixed Deposit <input type="checkbox"/> Others					

## Part -2 : SHAREHOLDING INFORMATION

Please provide the shareholding information in respect of the Company:

a) Total issued share capital of the company: £

b) All Shareholders holding shares of 25% and above of the paid up capital of the Company.

S.No	Name of Shareholder	Face value of shares	Percentage of holding
1.			
2.			
3.			

In case the company is listed on a stock exchange, please provide the latest shareholding pattern as filed with the Exchange at the end of the most recent month/quarter.

c) Are any other investments to be made in the business/If yes, provide:

Source	Name of Investor	Amount	Will the amount be paid through a bank account ? Yes/no	Sort code and account details of such account	Amount invested as % of total start up funds

S.No	Name	Amount and Percentage
1.		
2.		

### COMPANY REQUIREMENTS

Cheque Book (Only for GBP Current Account)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Internet Banking (View Only)	<input type="checkbox"/> Yes	<input type="checkbox"/> No

### OTHER BANKING RELATIONSHIPS

Do you have an account with any other bank	<input type="checkbox"/> Yes	<input type="checkbox"/> No		
If yes, please specify	Type of Account	Sort Code/Account Number	Name of Account	Bank and Branch Name/Place

### DIRECTOR DETAILS

PERSONAL DETAILS (Director-1)		PERSONAL DETAILS (Director-2)	
TITLE : <input type="checkbox"/> Mr <input type="checkbox"/> Ms <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Other		TITLE : <input type="checkbox"/> Mr <input type="checkbox"/> Ms <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Other	
1. First Name	<input type="text"/>	1. First Name	<input type="text"/>
2. Middle Name	<input type="text"/>	2. Middle Name	<input type="text"/>
3. Surname	<input type="text"/>	3. Surname	<input type="text"/>
4. Address	<input type="text"/>	4. Address	<input type="text"/>
5. Post Code	<input type="text"/>	5. Post Code	<input type="text"/>
6. Country	<input type="text"/>	6. Country	<input type="text"/>
7. Phone Number	<input type="text"/>	7. Phone Number	<input type="text"/>
8. Email	<input type="text"/>	8. Email	<input type="text"/>
9. Previous Address <small>(If not resident in the above current address for the past three years)</small>	<input type="text"/>	9. Previous Address <small>(If not resident in the above current address for the past three years)</small>	<input type="text"/>
10. Post Code	<input type="text"/>	10. Post Code	<input type="text"/>
11. Country	<input type="text"/>	11. Country	<input type="text"/>
12. Mobile Number	<input type="text"/>	12. Mobile Number	<input type="text"/>
13. Date of Birth	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	13. Date of Birth	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
14. Place of Birth <small>(City/ Town and Country)</small>	<input type="text"/>	14. Place of Birth <small>(City/ Town and Country)</small>	<input type="text"/>
15. Nationality	<input type="text"/>	15. Nationality	<input type="text"/>
16. Passport Number	<input type="text"/>	16. Passport Number	<input type="text"/>
17. National Insurance No /TIN No	<input type="text"/>	17. National Insurance No /TIN No	<input type="text"/>
18. Position in the firm	<input type="text"/>	18. Position in the firm	<input type="text"/>

19. If existing Union Bank of India(UK) Ltd account Holder?  Yes  No  
 If yes please specify Account no

20. US Withholding Tax Declaration..  I am not a US Person or US Citizen  
 For the purpose of the US Foreign Accounts Tax Compliance Act (FATCA) please confirm whether you are a US Person/Citizen. I also agree to notify if my situation changes:  I am a US Person or US Citizen\*  
 (\*Please ask to complete FATCA form)

19. If existing Union Bank of India(UK) Ltd account Holder?  Yes  No  
 If yes please specify Account no

20. US Withholding Tax Declaration..  I am not a US Person or US Citizen  
 For the purpose of the US Foreign Accounts Tax Compliance Act (FATCA) please confirm whether you are a US Person/Citizen. I also agree to notify if my situation changes:  I am a US Person or US Citizen\*  
 (\*Please ask to complete FATCA form)

## DIRECTOR DETAILS

### PERSONAL DETAILS (Director-3)

TITLE :  Mr  Ms  Mrs  Miss  Other

1. First Name

2. Middle Name

3. Surname

4. Address

5. Post Code

6. Country

7. Phone Number

8. Email

9. Previous Address (If not resident in the above current address for the past three years)

10. Post Code

11. Country

12. Mobile Number

13. Date of Birth

14. Place of Birth (City/ Town and Country)

15. Nationality

16. Passport Number

17. National Insurance No /TIN No

18. Position in the firm

19. If existing Union Bank of India(UK) Ltd account Holder?  Yes  No  
 If yes please specify Account no

20. US Withholding Tax Declaration..  I am not a US Person or US Citizen  
 For the purpose of the US Foreign Accounts Tax Compliance Act (FATCA) please confirm whether you are a US Person/Citizen. I also agree to notify if my situation changes:  I am a US Person or US Citizen\*  
 (\*Please ask to complete FATCA form)

### PERSONAL DETAILS (Director-4)

TITLE :  Mr  Ms  Mrs  Miss  Other

1. First Name

2. Middle Name

3. Surname

4. Address

5. Post Code

6. Country

7. Phone Number

8. Email

9. Previous Address (If not resident in the above current address for the past three years)

10. Post Code

11. Country

12. Mobile Number

13. Date of Birth

14. Place of Birth (City/ Town and Country)

15. Nationality

16. Passport Number

17. National Insurance No /TIN No

18. Position in the firm

19. If existing Union Bank of India(UK) Ltd account Holder?  Yes  No  
 If yes please specify Account no

20. US Withholding Tax Declaration..  I am not a US Person or US Citizen  
 For the purpose of the US Foreign Accounts Tax Compliance Act (FATCA) please confirm whether you are a US Person/Citizen. I also agree to notify if my situation changes:  I am a US Person or US Citizen\*  
 (\*Please ask to complete FATCA form)

## DETAILS OF SHAREHOLDER

SHAREHOLDER OF 25% AND ABOVE (1)	SHAREHOLDER OF 25% AND ABOVE (2)
TITLE : <input type="checkbox"/> Mr <input type="checkbox"/> Ms <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Other	TITLE : <input type="checkbox"/> Mr <input type="checkbox"/> Ms <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Other
1. First Name <input type="text"/>	1. First Name <input type="text"/>
2. Middle Name <input type="text"/>	2. Middle Name <input type="text"/>
3. Surname <input type="text"/>	3. Surname <input type="text"/>
4. Address <input type="text"/>	4. Address <input type="text"/>
5. Post Code <input type="text"/>	5. Post Code <input type="text"/>
6. Country <input type="text"/>	6. Country <input type="text"/>
7. Phone Number <input type="text"/>	7. Phone Number <input type="text"/>
8. Email <input type="text"/>	8. Email <input type="text"/>
9. Previous Address <small>(If not resident in the above current address for the past three years)</small> <input type="text"/>	9. Previous Address <small>(If not resident in the above current address for the past three years)</small> <input type="text"/>
10. Post Code <input type="text"/>	10. Post Code <input type="text"/>
11. Country <input type="text"/>	11. Country <input type="text"/>
12. Mobile Number <input type="text"/>	12. Mobile Number <input type="text"/>
13. Date of Birth <input type="text"/>	13. Date of Birth <input type="text"/>
14. Place of Birth <small>(city/ Town and Country)</small> <input type="text"/>	14. Place of Birth <small>(city/ Town and Country)</small> <input type="text"/>
15. Nationality <input type="text"/>	15. Nationality <input type="text"/>
16. Passport Number <input type="text"/>	16. Passport Number <input type="text"/>
17. National Insurance No /TIN No <input type="text"/>	17. National Insurance No /TIN No <input type="text"/>
18. Position in the firm <input type="text"/>	18. Position in the firm <input type="text"/>
19. If existing Union Bank of India(UK) Ltd account Holder? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes please specify Account no <input type="text"/>	19. If existing Union Bank of India(UK) Ltd account Holder? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes please specify Account no <input type="text"/>
20. US Withholding Tax Declaration.. <small>For the purpose of the US Foreign Accounts Tax Compliance Act (FATCA) please confirm whether you are a US Person/Citizen. I also agree to notify if my situation changes:</small> <input type="checkbox"/> I am not a US Person or US Citizen <input type="checkbox"/> I am a US Person or US Citizen* <small>(*Please ask to complete FATCA form)</small>	20. US Withholding Tax Declaration.. <small>For the purpose of the US Foreign Accounts Tax Compliance Act (FATCA) please confirm whether you are a US Person/Citizen. I also agree to notify if my situation changes:</small> <input type="checkbox"/> I am not a US Person or US Citizen <input type="checkbox"/> I am a US Person or US Citizen* <small>(*Please ask to complete FATCA form)</small>

## DETAILS OF AUTHORISED SIGNATORIES

PERSONAL DETAILS (AUTHORISED SIGNATORY-1)	PERSONAL DETAILS (AUTHORISED SIGNATORY-2)
TITLE : <input type="checkbox"/> Mr <input type="checkbox"/> Ms <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Other	TITLE : <input type="checkbox"/> Mr <input type="checkbox"/> Ms <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Other
1. First Name <input type="text"/>	1. First Name <input type="text"/>
2. Middle Name <input type="text"/>	2. Middle Name <input type="text"/>

3. Surname

4. Address

5. Post Code

6. Country

7. Phone Number

8. Email

9. Previous Address  
(If not resident in the above current address for the past three years)

10. Post Code

11. Country

12. Mobile Number

13. Date of Birth

14. Place of Birth  
(City/ Town and Country)

15. Nationality

16. Passport Number

17. National Insurance No /TIN No

18. Position in the firm

19. If existing Union Bank of India(UK) Ltd account Holder?  
If yes please specify Account no

Yes  No

20. US Withholding Tax Declaration..  
For the purpose of the US Foreign Accounts Tax Compliance Act (FATCA) please confirm whether you are a US Person/Citizen. I also agree to notify if my situation changes:

I am not a US Person or US Citizen

I am a US Person or US Citizen\*  
(\*Please ask to complete FATCA form)

3. Surname

4. Address

5. Post Code

6. Country

7. Phone Number

8. Email

9. Previous Address  
(If not resident in the above current address for the past three years)

10. Post Code

11. Country

12. Mobile Number

13. Date of Birth

14. Place of Birth  
(City/ Town and Country)

15. Nationality

16. Passport Number

17. National Insurance No /TIN No

18. Position in the firm

18. If existing Union Bank of India(UK) Ltd account Holder?  
If yes please specify Account no

Yes  No

19. US Withholding Tax Declaration..  
For the purpose of the US Foreign Accounts Tax Compliance Act (FATCA) please confirm whether you are a US Person/Citizen. I also agree to notify if my situation changes:

I am not a US Person or US Citizen

I am a US Person or US Citizen\*  
(\*Please ask to complete FATCA form)

**DETAILS OF AUTHORISED SIGNATORIES**

**PERSONAL DETAILS (AUTHORISED SIGNATORY-3)**

TITLE :  Mr  Ms  Mrs  Miss  Other

1. First Name

2. Middle Name

3. Surname

4. Address

5. Post Code

**PERSONAL DETAILS (AUTHORISED SIGNATORY-4)**

TITLE :  Mr  Ms  Mrs  Miss  Other

1. First Name

2. Middle Name

3. Surname

4. Address

5. Post Code

6. Country	<input type="text"/>	6. Country	<input type="text"/>
7. Phone Number	<input type="text"/>	7. Phone Number	<input type="text"/>
8. Email	<input type="text"/>	8. Email	<input type="text"/>
9. Previous Address <small>(If not resident in the above current address for the past three years)</small>	<input type="text"/>	9. Previous Address <small>(If not resident in the above current address for the past three years)</small>	<input type="text"/>
10. Post Code	<input type="text"/>	10. Post Code	<input type="text"/>
11. Country	<input type="text"/>	11. Country	<input type="text"/>
12. Mobile Number	<input type="text"/>	12. Mobile Number	<input type="text"/>
13. Date of Birth	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	13. Date of Birth	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
14. Place of Birth <small>(City/ Town and Country)</small>	<input type="text"/>	14. Place of Birth <small>(City/ Town and Country)</small>	<input type="text"/>
15. Nationality	<input type="text"/>	15. Nationality	<input type="text"/>
16. Passport Number	<input type="text"/>	16. Passport Number	<input type="text"/>
17. National Insurance No /TIN No	<input type="text"/>	17. National Insurance No /TIN No	<input type="text"/>
18. Position in the firm	<input type="text"/>	18. Position in the firm	<input type="text"/>
19. If existing Union Bank of India(UK) Ltd account Holder? If yes please specify Account no	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="text"/>	19. If existing Union Bank of India(UK) Ltd account Holder? If yes please specify Account no	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="text"/>
20. US Withholding Tax Declaration.. <small>For the purpose of the US Foreign Accounts Tax Compliance Act (FATCA) please confirm whether you are a US Person/Citizen. I also agree to notify if my situation changes:</small>	<input type="checkbox"/> I am not a US Person or US Citizen <input type="checkbox"/> I am a US Person or US Citizen* <small>(*Please ask to complete FATCA form)</small>	20. US Withholding Tax Declaration.. <small>For the purpose of the US Foreign Accounts Tax Compliance Act (FATCA) please confirm whether you are a US Person/Citizen. I also agree to notify if my situation changes:</small>	<input type="checkbox"/> I am not a US Person or US Citizen <input type="checkbox"/> I am a US Person or US Citizen* <small>(*Please ask to complete FATCA form)</small>

**FOREIGN ACCOUNT TAX COMPLIANCE ACT (FATCA)**

Under the HM Revenue and Custom (HMRC) guidance note on Implementation of The International Tax Compliances (United State of America) Regulations 2013, Bank Is required to disclose information to HMRC In relations to accounts and or account holders who may be liable to pay tax In the USA. You are therefore requested to answer the following questions.

**Please Tick Yes or No**

- 1. To the best of 'your' knowledge, are you liable to pay tax in the USA?  
(if your answer is yes, please ignore the below questions.)  Yes  No
- 2. Are any of the Partners a US citizen, whether by birth or naturalisation or hold a US passport?  Yes  No
- 3. Does any of the partner hold a "Green Card"?  Yes  No
- 4. Do you own real estate within USA?  Yes  No
- 5. Do you expect to receive into your account any Income or proceeds of sale arising from any assets held In the USA and for which you have not paid tax?  Yes  No

If your answer to any of the above questions is yes, please provide any form of US Taxpayer Identification Number (TIN)

In the following box TIN No:

The bank is not required to make any deduction in respect of liability to tax in the USA.

However, HMRC may share any information disclosed with the internal Revenue Service (IRS) of USA. You are strongly recommended to take Independent tax advice if you are unsure as to whether you may or may not have a tax liability in the USA. The bank is not authorised or able to provide you with any tax advice. You should advise the Bank Immediately should you domicile for tax purposes changes and/or you become liable to pay tax in the USA.

## FOR TERM DEPOSIT ACCOUNT

Period of Deposit:

- 1 Year    2 Years    3 Years  
 4 Years    5 Years

For Interest Payment:

Credit to our account no.  with Union Bank of India (UK) Ltd.

For other Banks in the UK, please provide following details

Name of Account	
Account Number	
Sort Code	
Bank Name	

## GENERAL AGREEMENT AND AUTHORISATION

I/we declare, confirm and agree:

- that all particulars and information given in this application form (and all documents referred to or provided herewith) are true, correct, complete, up-to-date in all respects and I/we have not withheld any information. I/we understand that certain particulars given by me/us are required for regulatory reasons. I/we also agree to provide any further information that Union Bank of India (UK) Ltd may require: and
- that I/we have had no insolvency proceedings initiated against me/us, nor have I/we ever been adjudicated insolvent. I/we have no Court Judgements registered against me/us: and
- that I/we am/are not blacklisted under the Disqualified Director Register or adjudicated/convicted in any criminal proceedings under any criminal law.
- I/We agree, undertaken and authorise Union Bank of India (UK) Ltd to exchange share or part with all the information, data or documents relating to my/our applications to credit reference agencies.
- I/We agree that any account opened immediately or on a future date will be subject to the Bank's Terms and Conditions (A copy of which has been provided/ available on [www.unionbankofindiauk.co.uk](http://www.unionbankofindiauk.co.uk)) and as amended from time to time.
- I/We agree to comply with the Bank's rules with regard to the conduct of the accounts. I/We resolve to provide to the Bank in writing any changes in personal details including addresses or circumstances that may change from time to time.
- \*I/We acknowledge the receipt of Financial Services Compensation Scheme's (FSCS) Information Sheet & Exclusion List.
- I/We undertake that I/we will not claim any interest on any of our fixed deposit/s made from time to time after the maturity date unless and until we have made a specific request to renew the deposit. I/we hereby authorise Union Bank of India (UK) Ltd to renew the fixed deposit/s made from time to time, on maturity for an identical period in the absence of any specific notice to the contrary.
- I/We declare that I/we have read and understood the Bank's Terms and Conditions, information about tariff of charges, summary of information about the Products and agree to abide by them.
- By signing below, I/we have read and understood this General Agreement and consent to the Bank to process data for the purpose stated, including sending the data to the Bank's offices in India.
- Under the Data Protection Act 2018, there are restrictions placed on data processors (Union Bank of India (UK) Ltd) regarding the transfer of data outside the EEA. The data provided by me/us or already in the Bank's records will be provided to the Back Office Operations Department (part of the Bank) in India for processing purposes and may be communicated

to the Bank's parent bank in India, who may, for regulatory or statistical purposes, provide information to the Indian Regulatory Authorities. Information may be used for credit search. I/We understand that no information will be disclosed outside the Bank. The Bank will disclose the information it holds if the Bank is allowed by law and if I/we give permission/authority to the Bank, if the Bank's interest means that it must give the information (for example, to prevent fraud) or if there is a public duty to do so. I/We have the right to request the data held about me/us. The Bank may charge an administration fee to meet its costs of providing me/us with the data.

- In order to verify your identity electronically (this is only to confirm identity and not a credit check), we will ask for your details (such as your name, address, date of birth and details will be passed on to external organisations in order to electronically match your information with information on their databases. These organisations will assess and advise us whether all or some Information you provid(ed) matches their records.
- If false or inaccurate information is provided and fraud is identified, details will be passed on to fraud prevention agencies to prevent fraud and money laundering. Further details explaining how the information held by fraud prevention agencies may be used can be obtained by from our website [www.unionbankofindiauk.co.uk](http://www.unionbankofindiauk.co.uk).
- Union bank of India (UK) Limited would like to keep you informed about any special offers you may be entitled to or about products and services available from the Bank that we think may interest you. If you want information on other products and services, please tick the following box:  Yes

From time to time we would like to contact you with details of other products and services we provide. If you consent to us for this purpose please tick to say how you would like us to contact you:

Post       Email       Telephone   
Text messages       Automated Call

However, we will continue to update you on required changes regarding servicing your account specify with us. Unless you have said otherwise, by continuing with this application, you agree to us contacting you using any of the methods.

- If I/We have opted for Internet Banking Services, then I/we declare that I/we have read, Understood and agree to the Terms and Conditions applicable to Internet Banking in relation to the operation of my/our Account as available via the website [www.unionbankofindiauk.co.uk](http://www.unionbankofindiauk.co.uk) and as listed in the accompanying Terms and Conditions and that I/we will adhere to all the applications Terms and Conditions.



## INSTRUCTIONS FOR OPERATIONS AND CONFIRMATION OF GENERAL ARGEEMENT

A/C No :  (for Branch Use)

Singly  Jointly  Anyone  Others

S.No	Name	Designation	Signatures
1.			Date
2.			Date
3.			Date
4.			Date
5.			Date

### FOR OFFICE USE :

Name:  Designation:  Signature:

Name of the official in whose presence the documents were signed and documents verified :

MLRO and/or Compliance Sign off

I hereby declare that this account opening form is complete in all respect and relevant documents have been obtained and verified.

Branch Manager/Head of Operations:

### ANNEXURE-A

### SAMPLE

#### Board Resolution

(to be provided on the letterhead of the company and signed by one Director and the Company secretary)

To  
Union Bank of India (UK) Ltd

Date :

We hereby certify that the following resolutions of the Board of Directors of the .....

here in after referred to as 'The Company' were passed at a meeting of the Board duly convened and held on .....  
and has been duly recorded in the minutes book of the said Company.

Resolved that :

1. The bank account(s) in the name of the Company be opened with Union Bank of India (UK) Ltd ('the Bank') and that the following resolutions shall apply to all accounts of the Company with the Bank now or in the future.
2. The bank be authorised subject to it's Terms and conditions governing Company accounts
  - a) To open company's account(s) with the bank, honour and comply with all cheques and other orders or instructions signed on behalf of the Company Singly/Jointly by the following authorised signatories:

Name & Signature #1	Name & Signature #2	Name & Signature #3	Name & Signature #4
Name	Name	Name	Name
Signature	Signature	Signature	Signature

- b) To accept the signatory as fully empowered to act on behalf of and bind the Company in all other transactions with the Bank.
- c) It was further resolved that Union Bank of India (UK) Ltd be requested to open additional accounts as and when required by the Company. The Bank may also be instructed from time to time regarding any changes to the authorised signatories to the account.
- d) It was further resolved that Union Bank of India (UK) Ltd be authorised to accept facsimile instructions or scanned copies of instructions by email given on behalf of the Company by the authorised signatories as mentioned above and it was further resolved to execute an Instruction indemnity in favour of Union Bank of India (UK) Ltd to allow the authorised signatories to give instructions to the Bank by fax or through email.
- e) It was further resolved in case the company avail the 'Corporate Internet Banking Service' facility over Internet Channel with Union Bank of India (UK) Ltd, the Company agrees to the 'Terms of Service' for Corporate Internet Banking laid down by the bank from time to time for the purpose.

Resolved further that each of the officials as empowered by the Company to operate the Company's accounts with the bank either singly or jointly as the case may be, is hereby authorised to avail the 'Corporate Internet Banking' facility offered by the bank.

**The Bank be supplied with:**

- a) A copy of the Memorandum & Articles of Association certified of the Company as true, complete and up to date;
  - b) The Company's Certificate of Incorporation
  - c) Copies of any resolutions which may be passed from time to time and other documents as required by the bank
  - d) Company's Certificate to commence business (If the company is a Public Ltd company).
1. The Company agrees that any indebtedness or liability incurred by the Company under this authority shall in the absence of any express written agreement by the Bank to the contrary be due and payable on demand.
  2. The Bank is by this resolution authorised to provide the Company's auditors such information as the Company's auditors may request notice in writing to the contrary is received by the Bank
  3. The Company to notify the Bank in writing, any changes in details or circumstances .
  4. The Company Secretary shall, as and when necessary, supply to the Bank a list of the current directors and, if applicable, other officials authorised to sign with specimen signatures duly attested/signed by the Company Secretary.
  5. The Company hereby confirms that no general charge on the assets of the company has been created in favour of any bank or financial institution/and or the company has obtained the necessary approval of the bank/financial institution for opening and operating a current account with the bank, a copy of which is attached.
  6. The Company hereby confirms that none of the directors are or have been disqualified under the Company Directors Disqualification Act 1986 or any similar overseas legislation covering the disqualification of directors or other officers of a company.
  7. These resolutions shall constitute the Company's Mandate to the Bank and remain in force until an amended resolution is passed by the Board of Directors and a copy thereof, certified by the Chairman and the Secretary or by any Director or the Secretary acting or purporting to act on behalf of the Company received by the Bank
  8. In this resolution/he expressions 'Directors' and 'Secretary' shall be construed as Director(s) and Secretary for the time being of the Company and shall in the case of Director(s) include alternate Director(s) and in the case of Secretary shall include any Joint Secretary, Assistant Secretary or Temporary secretary.
  9. We certify that the signatures set down on page 8 of this account opening form are those of all the Directors, the Secretary and of any other Officers of the Company authorised to sign, that such signatures are the genuine signatures of such persons and that such signatures operate as the specimen signatures of each of such persons.

**Yours faithfully,**

	Name	Signature	Date
Director			
Secretary			

**ANNEXURE-B**

**Union Bank of India (UK) Limited Telecommunications Authorisation**

I/we authorise Union Bank of India (UK) Limited (the Bank) to accept and act upon instructions given through telephone, fax or email by me/us or any person authorised by me/us subject to the following, which I understand and acknowledge:

- There are inherent risks in sending instructions by telephone, fax and email.
- Any email instructions must be sent from the registered email address held by the Bank.
- When instructions are given to the Bank by telephone, fax or email, the Bank will verify my/our identity by a telephone call to a number the Bank holds on record for me/us. During this call the Bank will ask questions based on information known to the Bank about me/us and the transactions on my/our account. On confirmation of this information the Bank will act on my/our instructions including payment of money from my/our account.
- If the Bank is unable to contact me/us to verify the instructions, the Bank may not act on our instructions and any payments requested may be delayed or not made.
- This telecommunications authorisation will be applicable for any future accounts that I/we may open with the Bank.
- I/We understand that in addition to the above terms, this service is also governed by the Business Banking Terms and Conditions.

**NAME OF THE COMPANY/ORGANISATION** \_\_\_\_\_

**ADDRESS OF THE COMPANY/ORGANISATION** \_\_\_\_\_

**NAME OF THE AUTHORISED SIGNATORY 1:** \_\_\_\_\_

**SIGNATURE** \_\_\_\_\_ **DATE:** \_\_\_\_\_

**NAME OF THE AUTHORISED SIGNATORY 2:** \_\_\_\_\_

**SIGNATURE** \_\_\_\_\_ **DATE:** \_\_\_\_\_